



Gaia Capital Management, Inc.
Registered Investment Advisor

James C. Pursley
Vivian J. Lopez
Investment Advisor Representatives

Our Commitment to You

Employees of Gaia enjoy a privileged relationship of trust with clients. Specifically, clients trust in our integrity, competence, vision and judgment.

Integrity

- Act always in our client's best interest
- Follow through on agreements made
- Behave on and off the job according to accepted ethical principals
- Maintain confidentiality with all client information

Competence

- Work only within our sphere of competence
- Carry out all duties to clients in a clearly competent manner
- Maintain competence levels through appropriate continuing education
- Conduct our work with thoroughness and care to important details
- Strive to attain the high hopes clients had of us at the start of the relationship

Vision

- Use all of our experience, talent, expertise and resources to maintain a vision of each client's path to her/his goals
- Keep intact the vision of Gaia as an able and trustworthy client "partner" in all of our activities involving client funds and relationships

Judgment

- Exercise the best possible judgment in all client matters
- Support effective decision making, based on sound judgment, by careful attention to integrity, competence and vision